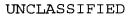
P131300.PDF [Page: 1 of 18]

### **Image Cover Sheet**

| CL | Δ. | g | g | т | r | т | C | Δ | Т | т | 0 | 'n | J |
|----|----|---|---|---|---|---|---|---|---|---|---|----|---|
|    | -  | _ | 9 | _ | P | _ | • | _ |   | _ | _ |    |   |

SYSTEM NUMBER

131300





#### TITLE

NEURAL NETWORKS FOR INDEPENDENT RANGE AND DEPTH DISCRIMINATION IN PASSIVE ACOUSTIC LOCALIZATION

System Number:

Patron Number:

Requester:

Notes: Paper #9 contained in Parent Sysnum #129006

DSIS Use only:

Deliver to:

DK

NEURAL NETWORKS FOR INDEPENDENT RANGE AND DEPTH DISCRIMINATION IN PASSIVE ACOUSTIC LOCALIZATION

Pierre Zakarauskas, John M. Ozard and Peter Brouwer\*

Defence Research Establishment Pacific, FMO Victoria, B.C. Canada, VOS 1B0.

\*Datavision Computer Services Ltd. 203-1545 Pandora Ave., Victoria, B.C. Canada V8R 6R1.

ABSTRACT

Two feedforward neural networks with one hidden layer each were trained using a fast backpropagation algorithm to determine the position of an acoustic source in a waveguide. One network was trained to localize the source in depth while the other was trained independently to localize in range. The output layer consisted of one unit for each possible range or depth of the source. The networks were trained with a signal-to-noise ratio (S/N) of 50 dB and tested with patterns generated with S/N ranging from 0 dB to 20 dB. The performance of the neural networks (NNs) was compared with that of a nearest-neighbor classifier. Evaluation of the processors was done in the context of an estimation problem, i.e. by measuring the root-mean-squared (rms) error of the processors' estimates. The NNs turned out to be less resistant to noise than the conventional processor, but were faster. An explanation is given as to why multilayered feedforward neural networks cannot in general achieve the performances of optimum classifiers.

#### **CONTENT**

PROBLEM STATEMENT

NN CONFIGURATION

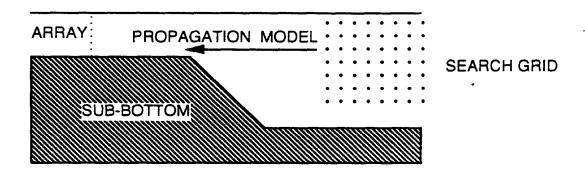
TRAINING ALGORITHM

POTENTIAL AND ACTUAL SPEEDUPS OVER CONVENTIONAL MFP

ROBUSTNESS ANALYSIS AND COMPARISON WITH MFP

INTERPRETATION OF RESULTS

#### MATCHED FIELD PROCESSING



COMPARE MEASURED FIELD AT ARRAY AND THE ONE PREDICTED BY A PROPAGATION MODEL

DISPLAY GOODNESS OF FIT - AMBIGUITY SURFACE

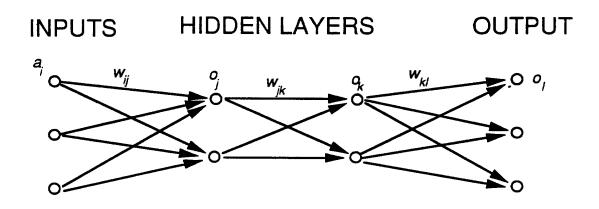
SEARCH AMBIGUITY SURFACE FOR BEST MATCH

## MATCHED FIELD PROCESSING (CONT.) - IMPLEMENTATION DIFFICULTIES

- 1 MFP NEEDS A RELIABLE AND ACCURATE MODEL OF SOUND PROPAGATION IN THE OCEAN. SHOULD INCLUDE:
  - RANGE DEPENDENT PARAMETERS
  - SHEAR IN THE SUB-BOTTOM
  - ROUGHNESS SCATTERING
  - EXPLICIT 3D

- 2 PROPER MODELLING NEEDS DETAILED KNOWLEDGE OF ENVIRONMENTAL VARIABLES, SUCH AS:
  - TOPOGRAPHY
  - SOUND SPEED PROFILE
  - SHEAR SPEED AND ABSORPTION
  - ROUGHNESS
- 3 MFP SEARCHES ALL POINT IN THE GRID. TIME OF SEARCH AND STORAGE REQUIREMENTS SCALES AS  $d \cdot r \cdot a$

#### **NEURAL NETWORKS**



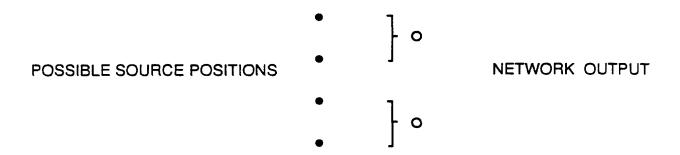
$$o_j = \frac{1}{1 + \exp\left[-\sum_i a_i w_{ij}\right]}$$

#### TRAINING:

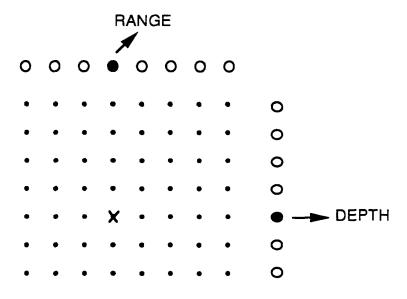
- IMPOSE TARGET VALUES  $o_l$  FOR EACH SET OF INPUTS  $a_i$
- ADJUST WEIGHTS THROUGH GRADIENT DESCENT (BACKPROPAGATION)

# NEURAL NETWORKS (CONT.) - POTENTIAL ADVANTAGES

- CAN BE TRAINED ON REAL DATA
- CAN BE TRAINED TO GIVE DESIRED ANSWER
  - GENERALIZE OVER SEVERAL DEPTHS OR RANGE



- PROCESS DEPTH AND RANGE SEPARATELY



P131300.PDF [Page: 8 of 18]

# NEURAL NETWORKS (CONT.) - POTENTIAL SPEEDUP OVER MFP

$$S = \frac{r \cdot d \cdot N_i}{N_i \cdot h_i + h_i \cdot N_o}$$

S: SPEEDUP FACTOR

r: NUMBER OF POSSIBLE SOURCE RANGES

d: NUMBER OF POSSIBLE SOURCE DEPTHS

 $N_i$ : NUMBER OF INPUTS

 $N_o$ : NUMBER OF OUTPUTS

h: NUMBER OF HIDDEN UNITS

#### TRAINING ALGORITHM

#### **BACK-PROPAGATION**

LEARNING RATE & INDIVIDUALLY ADJUSTED FOR EACH WEIGHT

$$\varepsilon_i = \varepsilon_{i+1} \alpha_+ \text{ IF sign}(\Delta W_{i+1}) = \text{sign}(\Delta W_i)$$

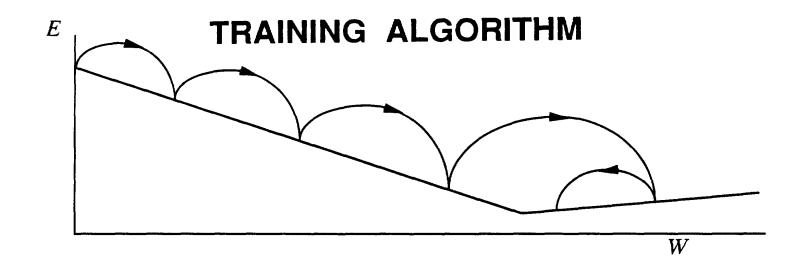
$$\varepsilon_{i} = \varepsilon_{i+1} \alpha_{i} \text{ IF sign}(\Delta W_{i+1}) \neq \text{sign}(\Delta W_{i})$$

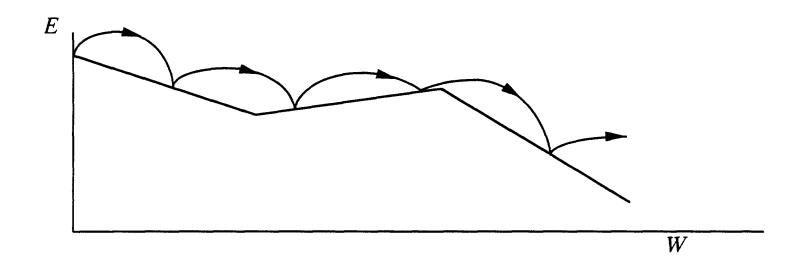
$$\alpha_{+}=1.1$$
  $\epsilon_{MAX}=50$   $\alpha_{-}=0.5$ 

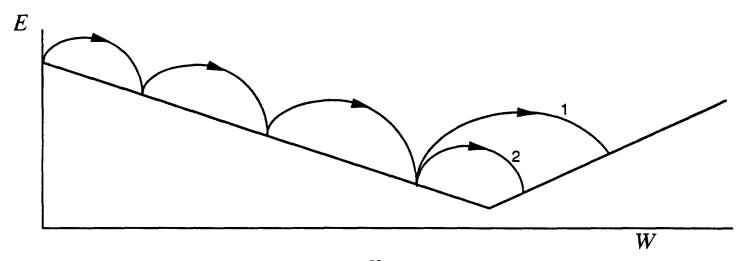
BACKTRACK IF  $E_{i+1} > \beta$   $E_i$  AND RETRY WITH

$$\varepsilon_{i} = 0.5 \ \varepsilon_{i+1}$$

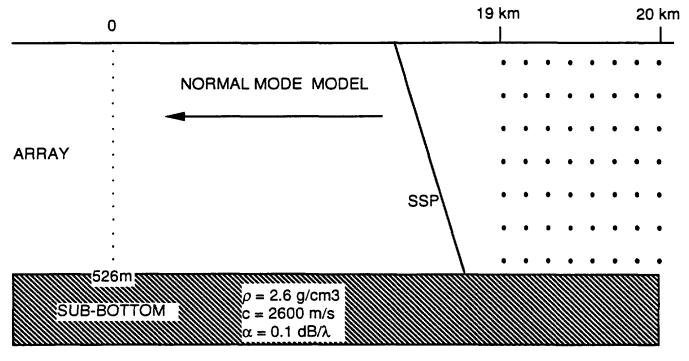
$$\beta = 1.1$$





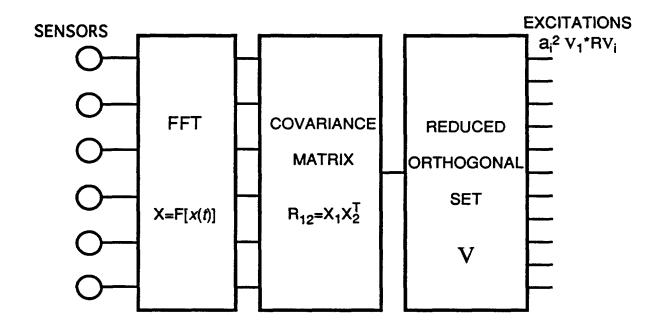


#### **ENVIRONMENTAL MODELLING**



SEARCH GRID 22 depths X 11 ranges

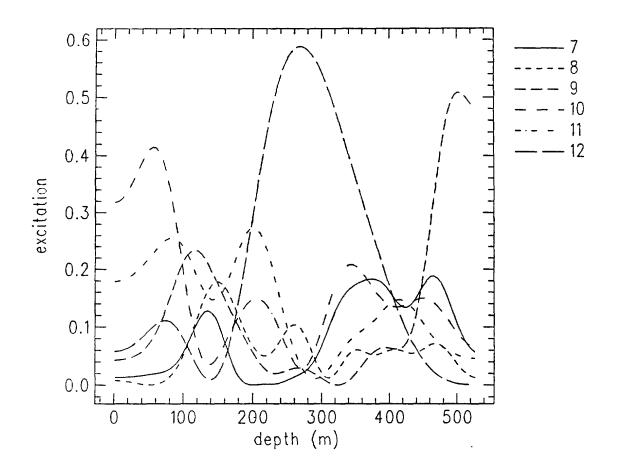
#### **PREPROCESSING**



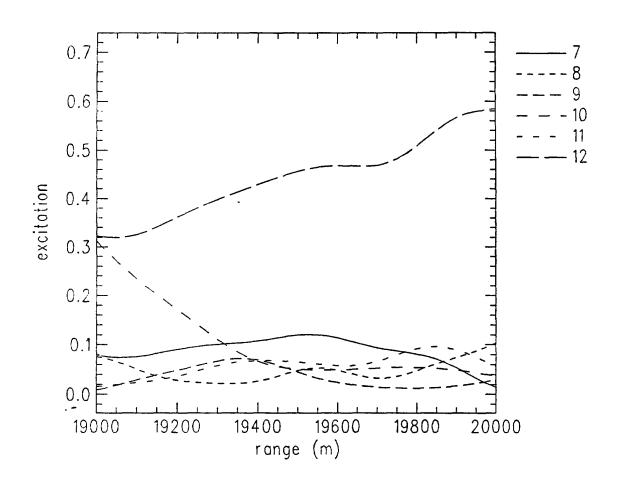
V: eigenfunctions of covariance matrix averaged over all source positions

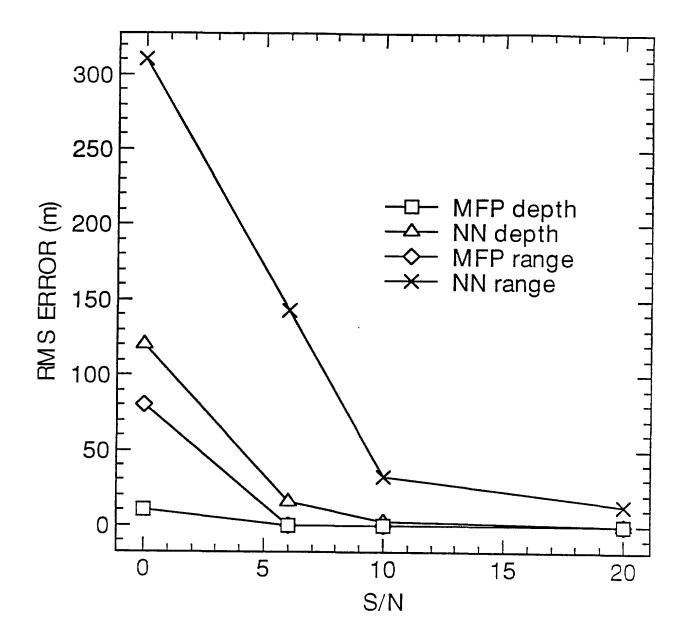
a: excitations of eigenfunctions V for R for a given source position

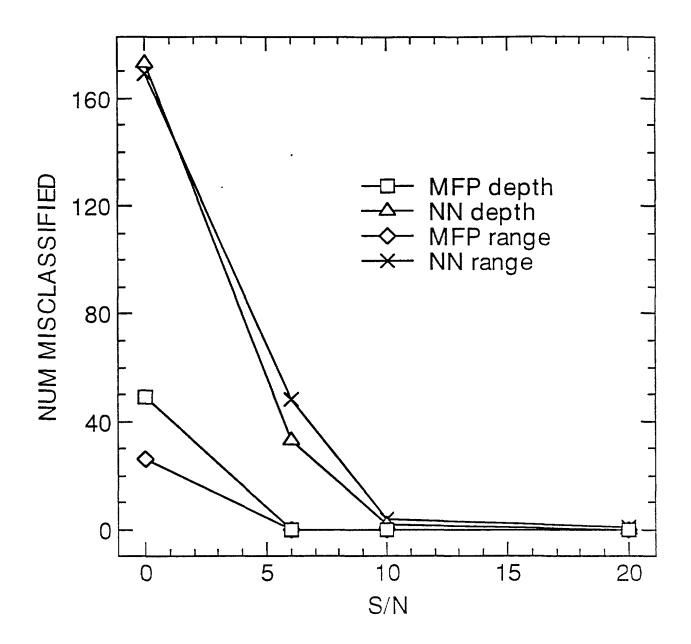
### EXCITATION vs DEPTH FOR DIFFERENT EIGENVECTORS



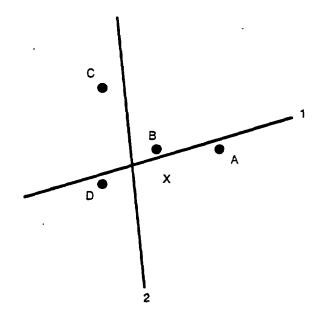
#### EXCITATION vs RANGE FOR DIFFERENT EIGENVECTORS



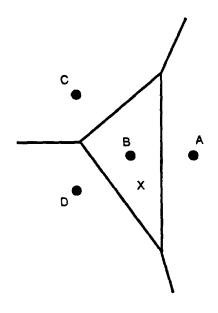




### INTERPRETATION OF NEURAL NETWORK DECISION SPACE



### INTERPRETATION OF NEAREST-NEIGHBOUR DECISION SPACE



#### **CONCLUSIONS**

NNs WERE TRAINED TO LOCALIZE IN DEPTH AND RANGE

THE TRAINED NNs WERE TESTED WITH NOISE

- APPRECIABLE SPEED UP ANS STORAGE IMPROVEMENT
- NNs TO BE USED IN HIGH S/N

TRAINING DOES NOT ALWAYS SUCCEED, AND CAN BE VERY SLOW

NUMEROUS LOCAL MINIMA IN TRAINING ERROR SURFACE