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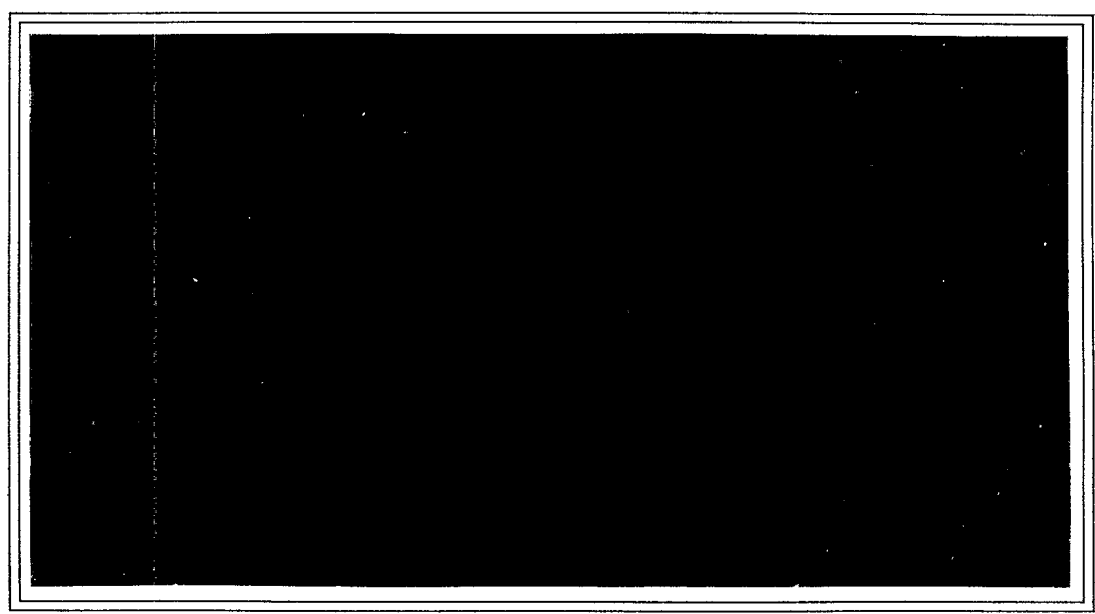
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**TITLE**

AN FFT-BASED RADIATION BOUNDARY CONDITION FOR THE PARABOLIC EQUATION

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**AN FFT-BASED RADIATION BOUNDARY CONDITION  
FOR THE PARABOLIC EQUATION**

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## I. Background

The parabolic equation (PE) model has been used very successfully for some time<sup>1</sup> to compute approximate solutions to the Helmholtz equation, for both range-independent and range-dependent sound propagation problems. Several finite-difference computer codes are currently in use which implement variants of this model, using efficient marching-type algorithms<sup>2-5</sup> to find numerical solutions to the PE.

Of particular interest here are the *external* boundary conditions associated with this equation – one for the ocean surface ( $z = 0$ ), and one for the region below the physical ocean bottom ( $z > z_b$ ). Traditionally, the surface has been treated as a pressure-release boundary, while a downgoing radiation condition is imposed on the field in the subbottom. To implement this latter condition numerically, an artificial absorbing layer is usually inserted below the physical bottom, and then a pressure-release condition is applied at the base of this layer. Papadakis<sup>6</sup> circumvented this approximate treatment by introducing an alternate *impedance* boundary condition which, though non-local, is exact and which satisfies the radiation boundary condition as  $z \rightarrow \infty$ . This boundary condition transforms the semi-infinite PE problem with the radiation condition at infinity to an equivalent PE problem in a bounded domain.

Implementation of this impedance condition for the standard PE involves evaluating an expression of the form<sup>7</sup>

$$\psi(t, z_b) = \text{const} \cdot \int_0^\infty \psi_z(\tau, z_b) \left( \int_{-\infty}^\infty \frac{\exp[is(t - \tau)] ds}{\gamma(s)} \right) d\tau. \quad (1)$$

The inner integral in (1) is recognized to be the (inverse) Fourier transform of the function  $\gamma^{-1}$ . Physically,  $\gamma$  represents the “specific impedance” of the Fourier transform of the PE-field, namely  $i\omega\rho f/f_z$ , evaluated along the boundary  $z = z_b$ . Here  $f$  denotes the Fourier transform of  $\psi$  from  $t$ -space (range) to  $s$ -space (horizontal wavenumber), and is defined by

$$f(s, z) = \int_0^\infty \psi(t, z) \exp(-ist) dt. \quad (2)$$

In the case of propagation over a uniform liquid half-space, the inverse Fourier transform in (1) can be evaluated analytically. But in other cases (e.g., elastic bottom, rough bottom, or layered bottom), the exact Fourier transform of the function  $\gamma^{-1}$  may not be known; it is then desirable to be able to evaluate this inner integral numerically. A promising FFT-based method for evaluating integrals of this type was first introduced by Mayfield.<sup>8,9</sup> Subsequently, this method was shown to produce accurate results provided the integrand was carefully pre-processed.<sup>10</sup>

The nature of the difficulty in evaluating the inner integral in 1 numerically is that, in most cases, the function  $\gamma$  is multivalued and exhibits at least one real zero (branch point) within the region of integration, so that the integrand has a branch cut singularity. Evaluating this integral carefully and accurately is often a difficult and non-trivial task.

In the present work, we report on some modifications to the above FFT-based approach that allow this technique to be incorporated into existing PE codes that are solved by finite-difference methods. In particular, we applied a procedure developed previously for handling similar integrals that occur in the wavenumber-integration code SAFARI.<sup>11</sup> The accuracy of this procedure was further improved by combining the FFT approximation to the integral with some higher-order interpolation formulas.<sup>12,13</sup>

## II. Numerical implementation

In the case of a liquid half-space bottom, the integro-differential equation (1) assumes the specific form<sup>7</sup>

$$\begin{aligned}\psi(t, z_b) &= \frac{\rho_b/\rho_w}{2\pi i} \int_0^\infty \psi_z(\tau, z_b) \left( \int_{-\infty}^\infty \frac{\exp[is(t-\tau)] ds}{\gamma(s)} \right) d\tau \\ &= \frac{-\sqrt{i}(\rho_b/\rho_w)}{\sqrt{2\pi k_0}} \int_0^t \psi_z(\tau, z_b) \frac{\exp\left[\frac{1}{2}ik_0(n_b^2-1)(t-\tau)\right]}{\sqrt{t-\tau}} d\tau\end{aligned}\quad (3)$$

where the inner integral was evaluated with the aid of tables.<sup>14</sup> Equation 3 defines the PE-field  $\psi$  at arbitrary range  $t$  and (bottom) depth  $z_b$  in terms of an integral from 0 to  $t$  of its depth-derivative  $\psi_z$  and a kernel function that vanishes when  $t < \tau$ . Here  $\rho_b$  and  $\rho_w$  denote the densities of the bottom half-space  $z > z_b$  and ocean  $0 < z < z_b$  respectively and  $\gamma$  is given by

$$\gamma(s) = k_0 \sqrt{N_b^2 - 1 - 2s/k_0} \quad (4)$$

where  $N_b = n_b + i\alpha_b/k_0$ ,  $n_b = c_0/c_b$  is the refractive index, and  $k_0 = 2\pi f/c_0$  is an arbitrary reference wavenumber. The uniform half-space  $z > z_b$  is further characterized by its sound speed  $c_b$  and attenuation  $\alpha_b$ . In 3, the field  $\psi$  satisfies the standard PE of underwater acoustics,

$$2ik_0 \frac{\partial \psi}{\partial r} + \rho \frac{\partial}{\partial z} (\rho^{-1} \frac{\partial \psi}{\partial z}) + k_0^2 (N_b^2 - 1) \psi = 0, \quad (5)$$

where  $\psi$  is related to the pressure  $p$  through

$$p(\tau, z) = \frac{\exp(ik_0 r) \psi(\tau, z)}{\sqrt{k_0 r}}. \quad (6)$$

We are concerned in this report with the numerical evaluation of the specific inner integral  $I$  defined by

$$I(t-\tau) = \int_{-\infty}^\infty \frac{\exp[is(t-\tau)] ds}{\gamma(s)}. \quad (7)$$

If we set  $x = t - \tau$ ,  $b = \frac{1}{2}k_0(N_b^2 - 1)$ , and  $B = \sqrt{ik_0/(2\pi)}$  then, from 3, the above integral may be written as

$$\begin{aligned}I(x) &= \int_{-\infty}^\infty \frac{\exp(isx) ds}{\sqrt{2k_0} \sqrt{b-s}} \\ &\equiv B \frac{\exp(ibx)}{\sqrt{x}}\end{aligned}\quad (8)$$

for  $x > 0$  and  $I(x) = 0$  for  $x < 0$ . To evaluate  $I$  numerically, we first truncate the interval of integration between  $s_{\min} < s < s_{\max}$ . We take up the issue of choosing  $s_{\min}$  and  $s_{\max}$  later. Dividing the range of integration into  $\Delta s = (s_{\max} - s_{\min})/M$  cells, then for  $s_l = s_{\min} + l\Delta s$ ,  $l = 0, 1, \dots, M$ ,  $I$  can be approximated by



$$\begin{aligned}
I(x) &= \int_{s_{\min}}^{s_{\max}} \frac{\exp(isx) ds}{\sqrt{2k_0}\sqrt{b-s}} \\
&= \sum_{l=1}^M \int_{s_{l-1}}^{s_l} \frac{\exp(isx) ds}{\sqrt{2k_0}\sqrt{b-s}} \\
&\simeq \frac{\Delta s}{\sqrt{2k_0}} \sum_{l=0}^{M-1} \frac{\exp(ixs_l)}{\sqrt{b-s_l}} \tag{9}
\end{aligned}$$

For certain values of  $x$  and  $M$ , this sum can be made into a discrete Fourier transform, or DFT, and evaluated by the fast Fourier transform (FFT) algorithm. In particular, we can choose  $M$  to be an integer power of 2, and define a set of special  $x$ 's by

$$x_m \Delta s \equiv \frac{2\pi m}{M}, \quad m = 0, 1, \dots, \frac{M}{2} - 1. \tag{10}$$

Then, setting

$$f_l = \frac{\exp(ixs_l)}{\sqrt{b-s_l}} \tag{11}$$

we can write the sum for  $I$  in 9 as

$$\begin{aligned}
I(x_m) &\simeq \frac{\Delta s}{\sqrt{2k_0}} \exp(ix_m s_{\min}) \sum_{l=0}^{M-1} f_l \exp(2\pi i l m / M) \\
&= \frac{\Delta s}{\sqrt{2k_0}} \exp(ix_m s_{\min}) [\text{DFT}(f_0, \dots, f_{M-1})]. \tag{12}
\end{aligned}$$

Press *et al.*<sup>12,13</sup> point out that this naive approach is not very accurate for evaluating Fourier integrals numerically and propose instead a higher-order procedure, based on combining the FFT with interpolation formulas. Replacing the DFT routine in (12) with their corrected routine DFTCOR leads to much more accurate approximations to the integral  $I$  than is possible by using a fast Fourier transform directly.

We observe that the integrand in (11) is singular at  $s = b$  (and multi-valued). For lossless media ( $\alpha_b = 0$ ), the singularity (and branch cut) lie along the real  $s$ -axis. To avoid this difficulty in implementing (12), we follow the procedure suggested in<sup>11</sup> and shift the contour of integration into the complex  $s$ -plane. That is, we make use of Cauchy's theorem to replace integration along the real line by integration along the shifted line  $s - i\varepsilon$ . For appropriate choices of  $s_{\min}$ ,  $s_{\max}$  and  $\varepsilon$ , we can neglect the contribution to the (contour) integral from the vertical line segments along  $s = s_{\min}$  and  $s = s_{\max}$ . Along the shifted line  $s - i\varepsilon$ , the evaluation of  $I$  takes the form

$$\begin{aligned}
I(x_m) &\simeq \frac{\Delta s}{\sqrt{2k_0}} \exp[x_m(\varepsilon + is_{\min})] \sum_{l=0}^{M-1} g_l \exp(2\pi i l m / M) \\
&= \frac{\Delta s}{\sqrt{2k_0}} \exp[x_m(\varepsilon + is_{\min})] [\text{DFTCOR}(g_0, \dots, g_{M-1})]. \tag{13}
\end{aligned}$$

where  $g_l$  is defined by

$$g_l = \frac{\exp(ixs_l)}{\sqrt{b + i\varepsilon - s_l}} \quad (14)$$

The offset amount  $\varepsilon$  is given by the prescription<sup>11</sup>

$$\varepsilon = \frac{3(s_{\max} - s_{\min})}{2\pi(M - 1)\log e} \quad (15)$$

and is designed to attenuate the value of the integrand by 60 dB at the maximum desired range  $x_{\max}$ .

For numerical work, it is necessary to select  $s_{\min}$ ,  $s_{\max}$ , and  $M$ . The PE horizontal wavenumbers  $s_{\min}$  and  $s_{\max}$  are determined from the relationship between  $s$  and  $k$ , where  $k$  is the horizontal wavenumber associated with the solution  $p$  of the wave equation. This relationship is given by<sup>7,15</sup>

$$k = k_0 \sqrt{1 + \frac{2s}{k_0^2}} \quad (16)$$

or, equivalently,

$$s = \frac{k^2 - k_0^2}{2k_0} \quad (17)$$

To determine  $k_{\min}$  and  $k_{\max}$  for a given problem, we specify the phase speeds  $c_{\min} = 2\pi f/k_{\max}$  and  $c_{\max} = 2\pi f/k_{\min}$  where  $f$  is the frequency of the sound source. These speed limits are related to the spectral content of the propagating acoustic field. For long-range propagation, the important phase speeds are those associated with the normal modes. The choice of a reference sound speed  $c_0$  then determines the value of  $k_0 = \frac{2\pi f}{c_0}$ . If  $c_0$  is chosen to be the average speed of the propagating modes, for example, then it is seen from (17) that PE wavenumbers  $s$  corresponding to  $k < k_0$  are negative.

To determine an appropriate value for  $M$ , we set the maximum range of propagation,  $t_{\max}$ , and the range step  $\Delta t$ , and choose  $M$  so that

$$\frac{1}{2}M\Delta t \geq t_{\max}.$$

Then  $M$  will be the smallest power of 2 so that  $M \geq \frac{2t_{\max}}{\Delta t}$ .

As noted above, use was made of the more accurate DFTCOR routine of Press *et al.*<sup>12,13</sup> to evaluate the Fourier integral  $I$ . In order to use this routine for a complex-valued function  $g$ , it was first necessary to modify the calling routine DFTINT (which uses routines DFTCOR, POLINT, FFOUR and REALFT) by separating  $g$  into real and complex parts and then appropriately re-combining the outputs in the calling routine. A listing of the revised routine, DFTINTC, and a program which uses this routine to find the transform of a complex-valued impedance function are attached. Note that  $g$  is defined as an external function; other problem parameters ( $f$ ,  $c_0$ ,  $c_{\min}$ ,  $c_{\max}$ , and  $M$ ) are entered in PARAMETER statements.

Example	f ( Hz)	$c_0$ ( m s <sup>-1</sup> )	$c_{\min}$ ( m s <sup>-1</sup> )	$c_{\max}$ ( m s <sup>-1</sup> )	M	$t_{\max}$ (km)	$\Delta t$ (m)
NORDA3	250	1500	1450	1850	2048	10	2.5
Bucker	100	1500	1450	1850	2048	20	5.0

Table 1: Parameter sets for the two examples

### III. Numerical Results

We present two typical examples for sound propagation over a uniform liquid half-space. In each case, we found the Fourier transform of the appropriate impedance function

$$g(s) = \frac{1}{\sqrt{\frac{k_0}{2}(N_b^2 - 1) + i\epsilon - s}}$$

using the modified DFTCOR routine in (13) and then compared the results to the known analytic transform in (8).

An example which is often used as a test case for numerical solution of the PE is one proposed for the NORDA parabolic equation workshop.<sup>6</sup> A sound source of frequency 250 Hz is placed at a depth of 50 m in a water column with a constant sound speed of 1500 m s<sup>-1</sup>; sound speed in the bottom is 1590 m s<sup>-1</sup>. Density is 1.0 g cm<sup>-3</sup> in the water and 1.2 g cm<sup>-3</sup> in the bottom. Bottom attenuation is set at 0.5 dB  $\lambda^{-1}$ .

A second example is one proposed by Dr. Homer Bucker (see, for example<sup>3</sup>). In this case, the sound speed in the water column has a depth-dependent profile ranging from 1500 m s<sup>-1</sup> to 1498 m s<sup>-1</sup> and back to 1500 m s<sup>-1</sup>; the bottom speed is 1505 m s<sup>-1</sup>. The source, with frequency 100 Hz, is at a depth of 30 m; the bottom depth is 240 m. There is a jump in density from 1.0 g cm<sup>-3</sup> in the water to 2.1 g cm<sup>-3</sup> in the bottom.

Graphs of the real and imaginary parts of numerical and analytical transforms for each test case are attached. the plots were generated using the scientific graphic software package SAPLOT.<sup>16</sup>

### IV. Conclusions

Significant improvements have been made in the numerical evaluation of the "inner integral" which appears in the formulation of Papadakis's non-local radiation boundary condition. First, the use of a SAFARI-like procedure to shift the contour of integration avoids the singularity in the integrand. And second, the use of a higher-order discrete Fourier transform routine with interpolation has provided a more accurate numerical approximation of the Fourier integral. This new method has been tested against analytic impedance results for two standard PE examples, with encouraging results. The next natural step will be to incorporate this improved FFT approach into one or more PE codes. Future areas for investigation include using this numerical procedure in problems with elastic or layered bottoms, or rough surfaces. The dramatic improvement in numerical results which we have seen in the completion of this project makes this a very promising approach for implementing the impedance boundary condition for the PE.

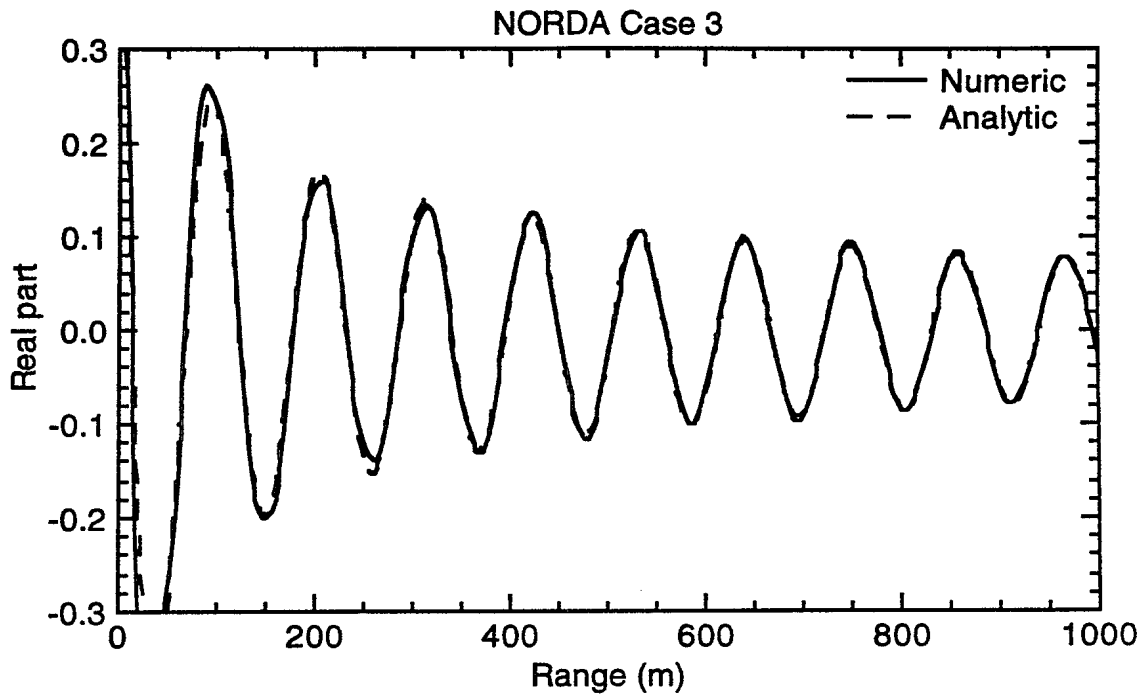


Fig. 1. Comparison of the real part of the numerical and analytical transforms for parameter set 1.

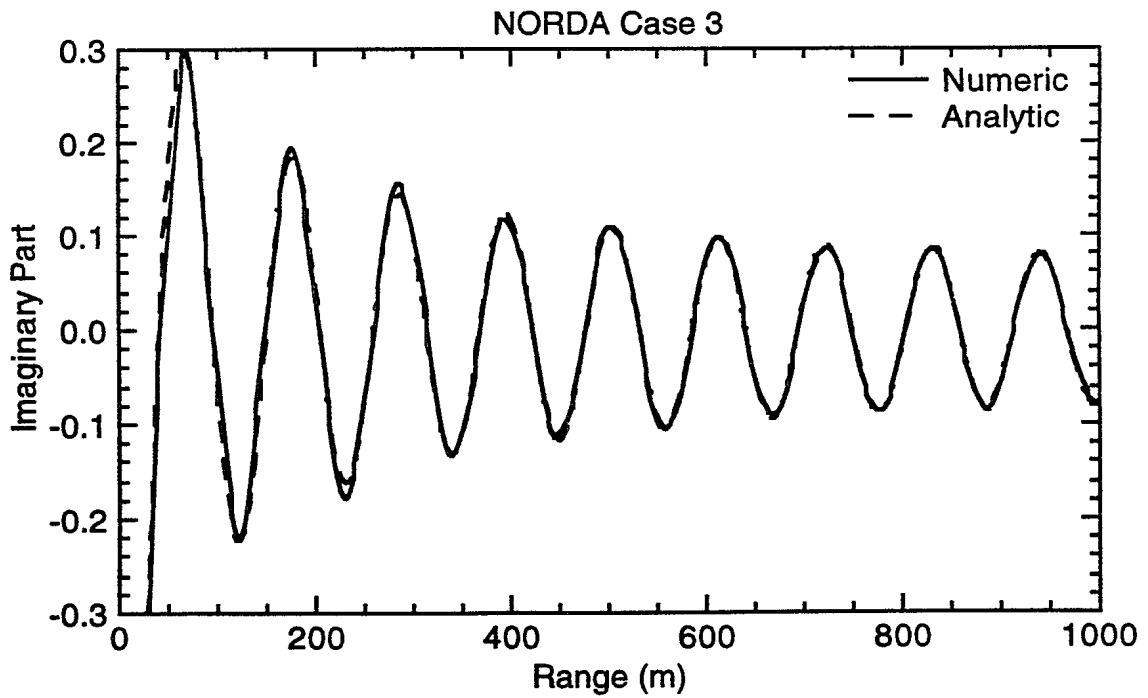


Fig. 2. Comparison of the imaginary part of the numerical and analytical transforms for parameter set 1.

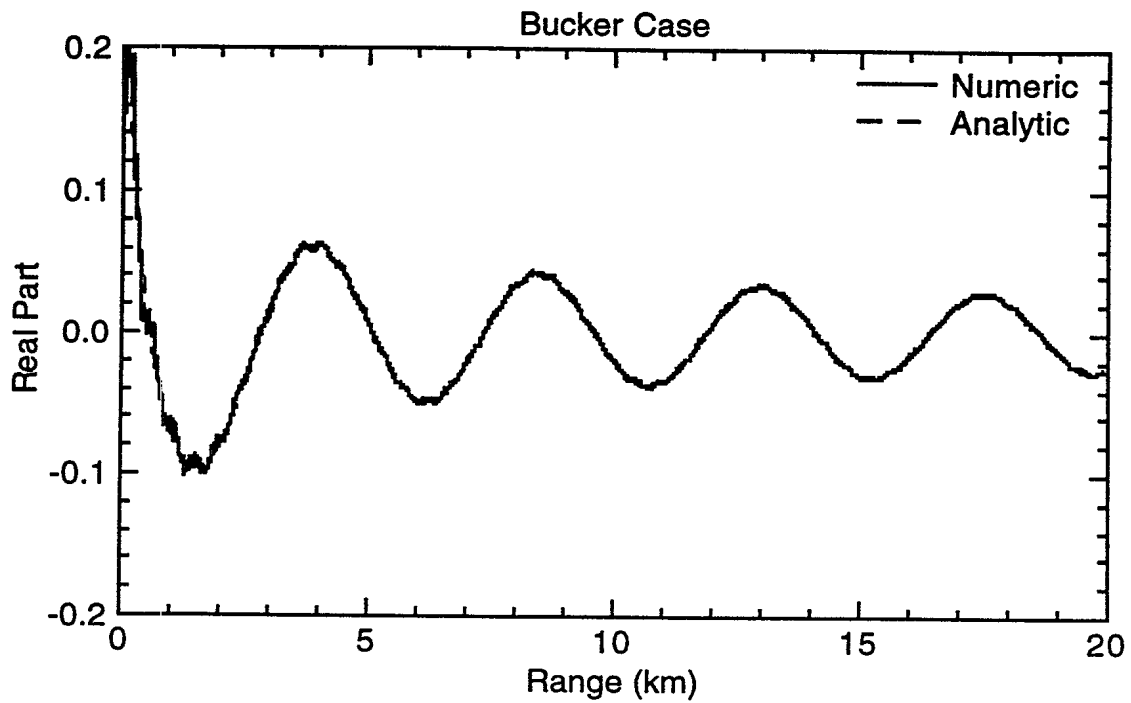


Fig. 3. Comparison of the real part of the numerical and analytical transforms for parameter set 2.

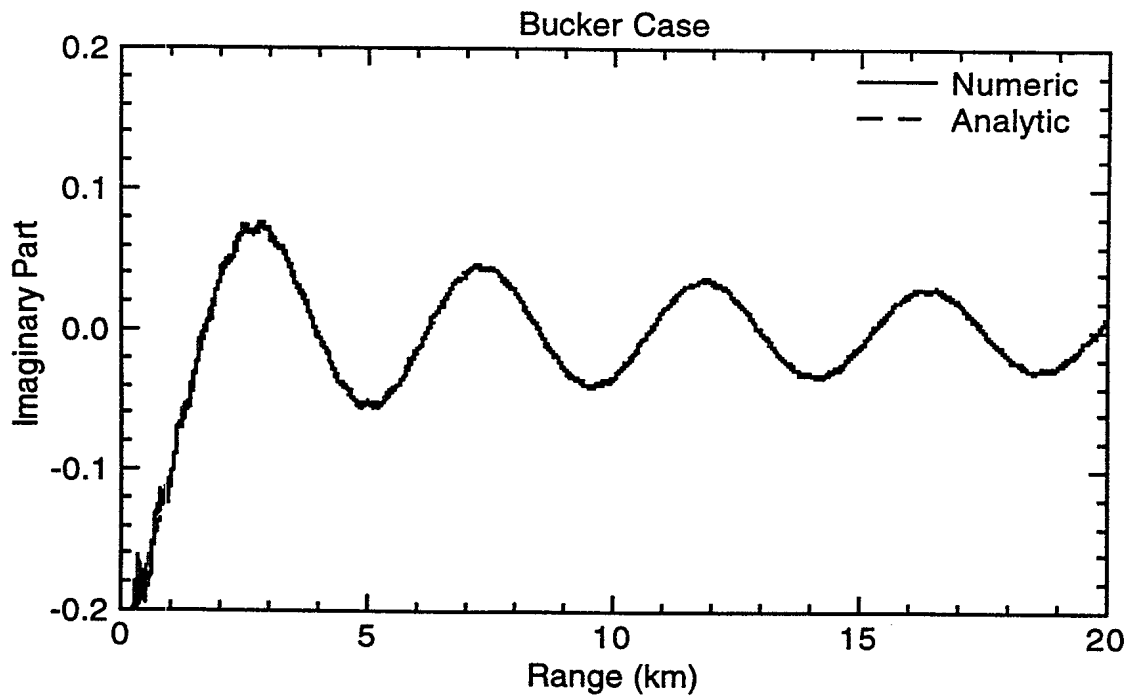


Fig. 4. Comparison of the imaginary part of the numerical and analytical transforms for parameter set 2.

## V. Appendix. Computer listing

The following programs are written in VAX FORTRAN Version 4.0. The routine DFTINTc is a modification of the analogous routine found in<sup>13</sup>; its implementation is discussed in Section II above. DFTtest tests the new numerical scheme for the specific impedance function  $\gamma(s)$  given in (4).

```

SUBROUTINE DFTINTc(FUNCC,indx,M,const,a,b,w,cosint,sinint)
c Subroutine DFTINT, modified to transform complex-valued function
c   FUNCC

  INTEGER M,NDFT,MPOL
  REAL a,b,cosintr,cosinti,sinintr,sininti,w,TWOPI
  REAL cosint, sinint
  COMPLEX funcc,const
  PARAMETER (NDFT=8192,MPOL=6,TWOPI=2.*3.14159265)
  EXTERNAL funcc
CU  USES dftcor,funcc,polint,realft
  INTEGER init,j,nn
  REAL aold,bold,c,cdftr,cdfti,cerr,corfacr,corfaci,corimr,corimi,
  * correr,correi,delta,en,s,sdftr,sdfti,
  * serr,cpolr(MPOL),cpoli(MPOL),datar(NDFT),datai(NDFT),
  * endptrs(8),endptsi(8),spolr(MPOL),spoli(MPOL),xpol(MPOL)
  SAVE init,aold,bold,delta,datar,datai,endptrs,endptsi
  DATA init/0/,aold/-1.e30/,bold/-1.e30/
  if (init.ne.1.or.a.ne.aold.or.b.ne.bold) then
    init=1
    aold=a
    bold=b
    delta=(b-a)/M
    do 11 j=1,M+1
      datar(j)=REAL(funcc(a+(j-1)*delta,j,M,const))
      datai(j)=AIMAG(funcc(a+(j-1)*delta,j,M,const))
      write(13,*)a+(j-1)*delta,datar(j)
      write(14,*)a+(j-1)*delta,datai(j)
11    continue
    do 12 j=M+2,NDFT
      datar(j)=0.
      datai(j)=0.
12    continue
    do 13 j=1,4
      endptrs(j)=datar(j)
      endptrs(j+4)=datar(M-3+j)
      endptsi(j)=datai(j)
      endptsi(j+4)=datai(M-3+j)
13    continue
    call realft(datar,NDFT,1)
    call realft(datai,NDFT,1)
    datar(2)=0.
    datai(2)=0.
  endif
  en=w*delta*NDFT/TWOPI+1.
  nn=min(max(int(en-0.5*MPOL+1.),1),NDFT/2-MPOL+1)
  do 14 j=1,MPOL
    cpolr(j)=datar(2*nn-1)
    spolr(j)=datar(2*nn)
    cpoli(j)=datai(2*nn-1)
    spoli(j)=datai(2*nn)
    xpol(j)=nn
    nn=nn+1
14  continue
  call polint(xpol,cpolr,MPOL,en,cdftr,cerr)

```

```
call polint(xpol,spolr,MPOL,en,sdftr,serrr)
call polint(xpol,cpoli,MPOL,en,cdfti,cerri)
call polint(xpol,spoli,MPOL,en,sdfti,serrr)
call dftcor(w,delta,a,b,endptsr,correr,corimr,corfacr)
call dftcor(w,delta,a,b,endptsi,correi,corimi,corfaci)
cdftr=cdftr*corfacr+correr
sdftr=sdftr*corfacr+corimr
cdfti=cdfti*corfaci+correi
sdfti=sdfti*corfaci+corimi
c=delta*cos(w*a)
s=delta*sin(w*a)
cosintr=c*cdftr-s*sdftr
sinintr=s*cdftr+c*sdftr
cosinti=c*cdfti-s*sdfti
sininti=s*cdfti+c*sdfti
cosint=cosintr-sininti
sinint=sinintr+cosinti
return
END
```

C (C) Copr. 1986-92 Numerical Recipes Software



## Program DFTTest

```

c Routine to test DFTCOR for 1/sqrt(b-s)
c DFT modified to transform complex-valued function
c *** Must be linked with FUNCc, DFTINTc, FOUR1, POLINT, REALFT,
c DFTCOR

Character*5      Curve
Real kmin,kmax,k0, Littleb
Complex Funcc,const,eye,BigB,Ref,Temp,nb

Parameter      (Curve='Curve')
Parameter (eye = cmplx(0.,1.))
Parameter (f=250.,c0=1500.,cb=1590.,ab=0.5)
Parameter (cmin=1450.,cmax=1850.,M=2048)

c The (possibly complex-valued) function FUNCc is imported ...
External FUNCc

pi=4.*atan(1.)

k0 = 2.*pi*f/c0
Attb = ab*f/(cb*20.*alog10(exp(1.)))
nb = c0/cb+eye*attb/k0
dt = 20.
ds = 2.*pi/(M*dt)
kmin = 2.*pi*f/cmax
kmax = 2.*pi*f/cmin
smin = (0.5/k0)*(kmin-k0)*(kmin+k0)
smax = (0.5/k0)*(kmax-k0)*(kmax+k0)
LittleB = (0.5*k0)*(nb-1.)*(nb+1.)
eps = 3.*(smax-smin)/(2.*pi*(M-1)*alog10(exp(1.)))
type *, 'eps = ',eps
type *, 'smin = ',smin,' smax = ',smax
a = smin
b = smax

type *, 'Little b = ', LittleB
const = LittleB + eye*eps

c The following lines set up output files which can later be used
c in the graphics software SAPLOT:

Open      (Unit=11,File='Refftcor.sap',Status='New')
Open      (Unit=12,File='Imfftcor.sap',Status='New')
Open      (Unit=13,File='Realinput.sap',Status='New')
Open      (Unit=14,File='Imagininput.sap',Status='New')

Delta_t=(b-a)/M
Delta_w=(2.*pi)/(M*Delta_t)
Type *, 'Delta_t = ', Delta_t
Type *, 'Delta_w = ', Delta_w
BigB = csqrt((2.*pi)/(eye*k0))
type *, 'BigB = ',BigB

```

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```
Write(11,*) Curve
Write(12,*) Curve
Write(13,*) Curve
Write(14,*) Curve

Do i=1,4096
  W=i*2.5
  CALL DFTINTc(FUNCC,i,M,const,A,B,W,COSINT,SININT)
c Real and imaginary parts of the transform are re-combined in
c the appropriate order:
  Temp = (cosint + eye*sinint)*exp(w*eps)/sqrt(2.*k0)
  Write(11,*)      W, Real(Temp)
  Write(12,*)      W, Aimag(Temp)
EndDo

c Compare to Analytic Result:

Write(11,*) Curve
Write(12,*) Curve
Do i=1,4096
  W=i*2.5
  Ref = BigB*cexp(eye*LittleB*w)/sqrt(w)
  write(11,*)W,Real(Ref)
  write(12,*)W,Aimag(Ref)
EndDo

Stop
End
```

Complex Function Funcc(s,m,MFFT,const)

c

Complex const,eye  
Parameter (pi= 3.14159265)  
Parameter (eye = (0.,1.))  
funcc = 1./csqrt(const-s)

c

Return  
End

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A numerical method is presented for evaluating the Fourier transform of  $1/\gamma$ , where  $\gamma$  is the specific impedance of the acoustic field. Integrals of this type arise in the formulation of a non-local, radiation boundary condition for the parabolic equation (PE). Using a procedure developed for the wavenumber-integration model SAFARI, the contour of integration is first shifted into the complex plane to avoid a singularity in the integrand. Then a fast Fourier transform (FFT) is used to evaluate the resulting discrete approximation to the Fourier integral. This procedure is tested by comparing the numerical calculation to the known analytic Fourier transform of  $1/\gamma$  for the special case of propagation over a liquid half-space.

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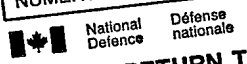
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